



**Policy, Finance and
Development
Committee**

**Tuesday, 29 March
2016**

**Matter for Information
and Decision**

Title: Internal Audit Progress Report 2015/16 and Audit Plan 2016/17

Author: Martin Hone – Interim Chief Financial Officer (Section 151 Officer)

1. Introduction

This report summarises the work of Internal Audit for 2015/16 and details the Internal Audit Plan for 2016/17.

2. Recommendations

- 2.1.** That Members note the content of the Progress Report for 2015/16
- 2.2.** That Members approve the Audit Plan for 2016/17 and note that the Plan is indicative at this stage, and the days that are allocated to each audit may change following the reviews in 2015/16.

3. Information

- 3.1.** The Internal Audit Plan for 2015/16 totals 254 days and includes 27 reviews planned to be conducted in year. To date in 2015/16 Internal Audit have issued nine final reports and one draft report with thirteen other audits in progress and at various stages of completion. Four audits were agreed to be dropped in order to resource additional work.

The purpose of the Report is to:

- a)** Show progress against the Audit Plan
- b)** Summarise key findings and conclusions arising from the work performed during the period.

Appendix 1 gives details of the audits completed so far in 2015/16 and provides information on the audit recommendations.

- 3.2.** Appendix 2 to this report contains the Internal Audit Plan for 2016/17. The plan included 23 audit assignments programmed over 260 days. Audits are identified by and linked to the Council's business objectives and strategic risks.

Background Documents:-

Internal Audit 2015/16 Plan

Email: chris.raymakers@oadby-wigston.gov.uk

Tel: (0116) 257 2891

Implications	
Financial (CR)	No significant implications.
Legal (AC)	No significant implications.
Risk (CR)	The internal audit is a key component of the Council's internal control framework. Outcomes of all internal audit reviews will be considered in the context of the strategic risk register.
Equalities (AC)	No significant implications.